

### Question 1

One method for gauging how hard it is to prove a conjecture  $C$  is to investigate if NOT  $C$  is true under the assumption that  $P$  equals  $NP$ . If  $P = NP$  implies NOT  $C$  then proving  $C$  would also prove that  $P \neq NP$ , so a proof of  $C$  (if true) is likely out of reach. Show that the following statements are true assuming  $P = NP$ :

- (a) The problem “Given a circuit  $C$  as input, all assignments are satisfying for  $C$ ” is in  $P$ .

**Solution:** On input  $C$ , apply the polynomial-time algorithm for SAT to the circuit NOT  $C$  and negate the answer. If all assignments to  $C$  are satisfying then NOT  $C$  has no satisfying assignment and the procedure accepts. Otherwise NOT  $C$  has a satisfying assignment and the procedure rejects.

- (b) Polynomial Identity Testing is in  $P$ . (**Hint:** Think of the randomness as a potential NP certificate.)

**Solution:** In Lecture 7 we showed that for every size- $s$  instance  $C$  of polynomial identity testing that does not compute the identically zero polynomial a random assignment of the inputs from  $\{1, \dots, 3s\}$  evaluates to zero with probability at most  $1/3$ . In particular every nonzero  $C$  has at least one polynomial-size witness  $x \in \{1, \dots, 3s\}^n$  such that  $C(x)$  does not evaluate to zero. Therefore the set of pairs  $(C, x)$  where  $C(x) \neq 0$  and  $x \in \{1, \dots, 3s\}^n$  is an NP-relation whose decision version is the complement  $\overline{PIT}$  of polynomial identity testing. If  $P$  equals  $NP$  then  $\overline{PIT}$  is in  $P$  and so is PIT itself as  $P$  is closed under complement.

- (c) There is no polynomial-time computable family  $G_n: \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  of  $(2^{n/10}, 1/4)$ -pseudorandom generators. (**Hint:** The problem “On input  $y$ , does there exists  $x$  such that  $G_{|x|}(x) = y$ ?” is in  $NP$ .)

**Solution:** Every output of  $G_n$  is a YES-instance of the problem described in the hint. The probability that a random string  $Z$  in  $\{0, 1\}^{n+1}$  is a yes instance is at most half because only half of the strings are possible outputs of  $G_n$ . If  $P$  equals  $NP$  the polynomial-time algorithm  $D$  for this problem is a distinguisher with advantage at least  $1 - 1/2 > 1/4$ . In particular this algorithm can be implemented by a family of polynomial-size circuits which fits within the required bound of  $2^{n/10}$  for all sufficiently large  $n$ .

### Question 2

Assume  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  is 0.01-unpredictable against size  $n^2$ . Which of these constructions is an  $(n^2/10, 0.1)$ -pseudorandom generator? If you answer no describe a distinguisher for  $G$ . If you answer yes show how to convert a distinguisher for  $G$  into a predictor for  $f$  (possibly using results from class). Addition denotes (bitwise) xor.

- (a)  $G: \{0, 1\}^{2n} \rightarrow \{0, 1\}^{2n+2}$  given by  $G(x, y) = (x, f(x), y, f(x) + f(y))$ .

**Solution:** Yes. If not suppose  $D$  has size  $n^2/10$  and 0.1-distinguishes  $(x, f(x), y, f(x) + f(y))$  from a random string. Let  $D'$  be the circuit that takes input  $(x, a, y, b)$  and applies  $D$  to  $(x, a, y, a + b)$ . Then  $D'$  has size  $n^2/10 + O(1)$  and 0.1-distinguishes  $(x, f(x), y, f(y))$  from a random string  $(x, a, y, b)$ .  $D'$  must then 0.05-distinguish either of those from  $(x, f(x), y, b)$ . In one case by fixing  $x$  (and  $f(x)$ ) that maximizes the advantage of  $D'$  we get a 0.05-distinguisher of  $(y, f(y))$  from a random string of size  $n^2/10 + O(1)$ . In the other case by fixing  $y$  and  $b$  in an advantage-maximizing way we get a distinguisher of  $(x, f(x))$  from random of the same advantage and size. In either case by Yao's lemma  $f$  can be 0.05-predicted by size  $n^2/5 + O(1)$  contradicting the assumption.

- (b)  $G: \{0, 1\}^{nm} \rightarrow \{0, 1\}^{\binom{m}{2}}$  (one output for every pair of inputs), with  $m = 3n$ , given by

$$G(x_1, \dots, x_m) = (f(x_1) + f(x_2), \dots, f(x_1) + f(x_n), f(x_2) + f(x_3), \dots, f(x_{m-1}) + f(x_m))$$

**Solution:** No. The output of  $G$  includes the three bits  $f(x_1) + f(x_2)$ ,  $f(x_1) + f(x_3)$ , and  $f(x_2) + f(x_3)$  which always XOR to zero. The distinguisher that computes the XOR of these three bits always accepts

outputs of  $G$  but only accepts random strings with probability half, showing that  $G$  is not even  $(O(1), 1/2)$ -pseudorandom.

- (c) **(Optional)**  $G: \{0, 1\}^{3n} \rightarrow \{0, 1\}^{3n+3}$  given by  $G(x, y, z) = (x, y, z, f(x + y), f(x + z), f(y + z))$ .

**Solution:** I don't know the answer to this one.

### Question 3

In Lecture 3 we showed that the following property of functions  $f: \{0, 1\}^n \times \{0, 1\}^m \rightarrow \{0, 1\}$  separates *EQUALITY* (when  $m = n$ ) from width  $2^n$  read-once branching programs:

**diffext**( $f$ ): For every pair  $x \neq x' \in \{0, 1\}^n$  there exists a  $y \in \{0, 1\}^m$  such that  $f(x, y) \neq f(x', y)$ .

- (a) Argue that **diffext** is  $2^{O(n+m)}$ -constructive, namely describe an efficient algorithm that decides **diffext**( $f$ ) using oracle access to  $f$  and analyze its running time.

**Solution:** The algorithm loops over all  $\binom{2^n}{2}$  pairs  $x \neq x'$ . For each of these pairs it tests whether any  $y \in \{0, 1\}^m$  violates the condition  $f(x, y) \neq f(x', y)$ . This condition can be checked in time  $O(n + m)$ , so the whole algorithm can be implemented in time  $O(\binom{2^n}{2}(n + m)2^{2n+m})$ . This is at most quadratic in the instance size  $2^{n+m}$ .

- (b) Show that the probability that **diffext**( $R$ ) holds for a random function  $R$  is at least  $1 - 2^{2n-2m-1}$ .  
(**Hint:** Calculate the probability  $R(x, y) = R(x', y)$  for fixed  $x \neq x'$  and all  $y$  and take a union bound.)

**Solution:** For fixed  $x \neq x'$  the  $2^{2m}$  values  $R(x, y)$  and  $R(x', y)$  as  $y$  ranges over  $\{0, 1\}^m$  are uniform and independent, so the  $2^{2m}$  events  $R(x, y) = R(x', y)$  are independent of probability  $1/2$  each. Therefore the probability that  $R(x, y) = R(x', y)$  for all  $y$  is exactly  $2^{-2m}$ . By a union bound the probability that there exist  $x \neq x'$  for which this is the case is at most  $\binom{2^n}{2}2^{-2m} \leq 2^{2n-1} \cdot 2^{-2m}$ .

- (c) Use part (b) to show that **diffext**( $f$ ) is  $1/2$ -large (and therefore natural) when  $m \geq \log(2n)$ .

**Solution:** When  $m \geq \log(2n)$  the probability in part (b) is at least  $1 - 1/2 = 1/2$  so **diffext** is  $1/2$ -large as desired.